





AUTHORISATION FOR TRADING IN NEW SEGMENT/NEW EXCHANGE

To,
 Tradebulls Securities (P) Limited,
 Tradebulls House, Sindhubhavan Road,
 Bodakdev, Ahmedabad - 380 054.

Dear Sir/Madam,

Sub: New Segment / New Exchange activation form for my account _____

I/We _____ a registered client of Tradebulls Securities (P) Limited would also like to trade in the new segment/new exchange as mentioned below:

| Exchanges | Segments | Signature |
|---------------------------------------|--------------------------------|--|
| NSE: 13499 | Future & Options |  1/3 |
| BSE: 3286 | Currency Derivatives |  1/3 |
| | Securities Lending & Borrowing |  1/3 |
| NSE: 13499 MCX: 21840 NCDEX: 376 | Commodity Derivatives |  1/3 |

BROKERAGE PLAN


| Equity Segment | Equity / Futures / Currency | | Equity Delivery | | Options (per lot) | | | | Commodity Segment | Futures | | Options |
|---------------------|-----------------------------|-------------------------|-----------------|-------------------------|-------------------|-----------|----------------|--------------|---------------------|---------|-------------------------|---------|
| | % | Min (paisa) (per share) | % | Min (paisa) (per share) | Index (₹) | Stock (₹) | Bank Nifty (₹) | Currency (₹) | | % | Min (paisa) (per share) | ₹ |
| 1 st Leg | | | | | | | | | 1 st Leg | | | |
| 2 nd Leg | | | | | | | | | 2 nd Leg | | | |

Note: Brokerage for Securities Lending and Borrowing Segment will be levied 15% + GST on the lending/borrowing fee.

DECLARATION

I / We declare that the particulars furnished above are true & correct to the best of my / our knowledge & belief. I / We agree & undertake to intimate the DP / Stock broker any change(s) in the details therein immediately. I / We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination & suitable action.

I / We confirm having received, read/been explained and understood the content of the 'Rights and Obligations Document' for trading & DP Services and MTF, 'Risk Disclosure Document', 'Guidance Note' and agree to abide by and be bound by the same and by the Bye-Laws as are in force from time to time. I/We also confirm having received, read/been explained & understood the contents of the document on policy and procedures, terms and conditions of the stock broker including MTF & the tariff sheet. I / We have also the informed that the standard set of documents has been displayed for information on stock broker's designated website i.e. www.tradebulls.in


2/3
Signature of 1st Holder

Place:

Date: DD / MM / YYYY

CLIENT DECLARATIONS AND AUTHORISATION

- **Client Declaration - Open Interest Position:** I/We, the undersigned, have taken cognizance of circulars issued by Regulator / Commodity Exchanges from time to time on the guidelines for calculation of net open positions permitted in any commodity and I/we hereby undertake to comply with the same. I / We hereby declare and undertake that I / we will not exceed the position limits prescribed from time to time by Commodity Exchanges or Regulator and such position limits will be calculated in accordance with the circulars on position limits as modified from time to time. I/We undertake to inform you and keep you informed if I / any of our partners / directors / karta / trustee or any of the partnership firms/companies / HUFs / Trusts in which I or any of above such person is a partner / director / karta / trustee, takes or holds any position in any commodity forward contract / commodity derivative on Exchanges through or through any other member (s) or Exchanges, to enable you to restrict our position limit as prescribed by the above referred circular of Exchanges as modified from time to time. I / We confirm that you have agreed to enter order in commodity forward contracts / commodity derivatives for me / us as your clients on Commodity Exchanges only on the basis of our above assurances and undertaking. I/We further undertake to bear any liable / penalty / charges levied by Commodity Exchanges / Regulator.
- **One Time Declaration About High Value Transactions:** I / We do hereby declare that being your client, I/We propose to undertake high value transactions (say Rs. 5 lakh and above or such other sum as may be prescribed by Regulator / MCX / NCDEX or any other authority from time to time) in a day on MCX / NCDEX through you as my/our commodity broker. By this one time declaration, please note that the said transaction(s) would be for and on my/our behalf and entirely for my./our profit or loss only. I/We also declare that the transactions would not be carried out for any unauthorized / unregistered authorized person or for and on behalf of any other person / entity.
- **Running Account Authorisation:** I / We request you to maintain my / our accounts on running accounts basis instead of 'bill to bill' settlement basis, unless I / we specifically request you for a payout of available free balance in the account. You may settle the accounts at **Monthly / Quarterly** (strike off whichever is not applicable) or at such other intervals as SEBI / Stock Exchanges may specify from time to time. I / We further authorize you to retain such amount as may be permitted by Stock Exchanges / SEBI from time to time or towards other unbilled services and/or charges applicable on my account, while settling the accounts. I / We further authorize you to also retain an amount as may be permitted by SEBI / Stock Exchanges from time to time, while settling my / our account. I / We understand and agree that no interest will be payable on the amount of funds retained by you as above. I / We agree that Tradebulls shall not be liable for any claim for loss or loss of profit or for any consequential, incidental, special or exemplary damages, or otherwise, caused by retention of such funds. The standing instruction / authorization for maintaining my / our account as running account shall remain valid until revoked in writing, addressed to you.
- **Letter Of Authority:** I hereby authorise my _____ Mr./Ms. _____ to trade on my behalf of me in my trading account code _____, I will be fully responsible for all trades done by authorised person in my trading account code.
- **Declaration pursuant to SEBI circular SEBI/HO/CDMRD/DNPMP/CIR/P/2019/08 dated 04th January, 2019**

LIST OF COMMODITIES (MCX & NCDEX)


| COMMODITIES | CODE | COMMODITIES | CODE | COMMODITIES | CODE | COMMODITIES | CODE |
|-------------|------|------------------------|----------|-------------|------|-------------|------|
| Aluminum | | Brass | | Copper | | Lead | |
| Nickel | | Zinc | | Barley | | Cardamom | |
| Castor | | Chana | | Cocudaki | | Cotton | |
| Dhaniya | | Guargum | | Guarseed | | Jeeraunjha | |
| Kapas | | Maize | | Moong | | Pady | |
| Pepper | | RM Seed | | Sugar | | Soyabean | |
| Turmeric | | Wheat | | Gold | | Silver | |
| CPO | | Crude Oil | | Mentha Oil | | Syoref | |
| Natural Gas | | All Commodities | 6 | | | | |


CATEGORY CODE REFERENCE TABLE AS PER SEBI

| Category | FPOs / Farmers | Value Chain Participants | Proprietary Traders (Only for registered Stock / Commodity) brokers | Domestic Financial Institutional Investors | Foreign Participants | Others |
|----------|--------------------------|--------------------------|---|--|--------------------------|--------------------------|
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Code | 1 | 2 | 3 | 4 | 5 | 6 |

I/We declare that the details furnished above are true and correct to the best of my knowledge and belief and I/We undertake to inform you of any changes there in immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting I/We am/are aware that I/We may be held liable for it.

 3/3
Signature of 1st Holder


Signature of 2nd Holder


Signature of 3rd Holder