

# ACCOUNT OPENING FORM ► INDIVIDUAL



Ver: 4.0 | April 2024

Branch	AP Code	BO ID	Trading Code	DP AMC Scheme

Equity Introducer	Commodity Introducer	Equity RM	Commodity RM	Form No.
				1234567890











# INDEX OF DOCUMENTS

S. No.	Name of the Document	Brief Significance of the Document	Page No.
<b>MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI &amp; EXCHANGES</b>			
1.	KRA Form and Account Opening Form	KYC Form - Document captures information about the constituent	1 - 6
2.	Tariff sheet	Document detailing the rate / amount of brokerage & other charges levied on the client for trading on stock exchange(s) & DP Service charges / Fee Structure	7
3.	Policies and Procedures	Document describing significant policies and procedures of the stock broker	<b>SEPARATE COPY FOR CLIENT ALSO AVAILABLE ON <a href="http://www.tradebulls.in">www.tradebulls.in</a></b>
4.	Rights and Obligations & Investor Charter	Document stating the Rights & Obligations of stock broker / trading member / Authorised Person and client for trading on exchange (including additional rights & obligations in case of internet / wireless technology based trading), of Beneficial Owners and Depository Participants & Margin Trading Facility	
5.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market	
6.	Guidance Note	Document detailing do's and don'ts for trading on exchange, for the education of the investors	
<b>VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER</b>			
1.	DDPI POA	Demat Debit & Pledge Instruction (DDPI) POA provided by BO to Tradebulls for settlement / Margin purpose	8 - 9
2.	Annexure A - MITC	Most Important Terms and Conditions	10
3.	Client Declaration	Declaration with regards to open interest position, high value transaction	11
4.	Client Authorisation	Authorisation to maintain Running Account	11
5.	Client Declaration	Declaration pursuant to SEBI circular SEBI/HO/CDMRD/DNPMP/CIR/P/2019/08	12
6.	Voluntary Terms & Conditions	Additional terms & conditions specific to clients for the purpose of operational efficiency including Margin Trading Facility (separate copy for client also available on <a href="http://www.tradebulls.in">www.tradebulls.in</a> )	

## IMPORTANT NOTE

### 1. Signature Types:

 Signature of Client / First Holder	 Signature of Second Holder	 Signature of Third Holder	 Signature of Introducer
 Signature of Guardian	 Authorised Signatory	 Signature of First Witness	 Signature of Second Witness

**2. In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature**

## IMPORTANT INSTRUCTIONS

1. All details to be filled in Capital Block letters in Black / Blue Ink Only.
2. Email ID & Mobile number is mandatory for account related passwords and transaction details.
3. Corrections in the KYC form should be counter signed.
4. Strike off whichever option, in the account opening form, is not applicable.
5. All Originals to be produced for physical verification.
6. If any proof of identity or address is in a regional language, then translation into English is required.
7. Sole proprietor must make the application in his individual name & capacity.
8. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.

## CHECKLIST FOR KYC (PLEASE TICK WHEREVER APPLICABLE)

CKYC Reference No.: 14 DIGITS KYC NUMBER

Valid Documents (Copies of all documents to be self attested)			Please Tick
<b>PAN Card</b>	Account Holder & Joint Holder's (If any)		
<b>Photograph</b>	One Colored Passport Size Photograph		
<b>Additional Proof of Identity</b> (Any One)	a. PAN Card	<input type="checkbox"/> KRA document used (Email Id & Phone number to be provided on given KRA Form)	
	b. Voter ID		
	c. Valid Passport		
	d. Valid Driving License		
	e. Unique Identification Number (UID) (Aadhaar)		
	f. Other (Pls. Specify) _____		
<b>Proof of Address</b> (Any One) Permanent / Correspondence	a. Voter ID	<input type="checkbox"/> KRA document used	
	b. Valid Passport		
	c. Valid Driving License		
	d. Unique Identification Number (UID) (Aadhaar)		
	e. Bank Statement/Passbook (not more than 3 months old must contain complete address of client)		
	f. Electricity Bill (not more than 3 months old)		
	g. Resident Landline Tel. Bill (not more than 3 months old)		
	h. Other (Pls. Specify) _____		
<b>Bank Proof With MICR / IFSC Code</b> (1st Holder only)	a. Bank Statement / Bank Passbook with cheque leaf (not more than 3 months old)		
	b. Banker's Certificate on letter head of the Bank (ORIGINAL)		
	c. Cancelled Personalized Cheque leaf		
<b>Demat Proof</b> (Any One) (1st Holder only)	a. Client Master (CML copy duly attested by DP)		
	b. DP Statement / Transaction cum Holding Statement (Duly attested by DP)		
<b>Proof of Income</b> (Any One) Mandatory for Derivatives Segments (1st Holder only)	a. Copy of latest ITR acknowledgment		
	b. In case of salary income - Salary Slip, Copy of Form 16		
	c. Net-worth certificate (Not more than 1 year old)		
	d. Copy of Demat Account Holding Statement (not more than 3 months old)		
	e. Bank Account Statement for last 6 months reflecting income		

### FATCA / CRS Declaration / Self Certification For Individual:

Client Name				
		<b>First Holder</b>	<b>Second Holder</b>	<b>Third Holder</b>
1.	Are you Indian Resident Person? (Refer Risk Disclosure Document at <a href="http://www.tradebulls.in">www.tradebulls.in</a> )	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.	Specify country of residence for tax purpose (If above clause is no)			
3.	Specify Tax Identification No. / Others			

**Note:** If you are a U.S. person and / or if your tax residency / nationality / citizenship is other than India, then please provide declaration / self certification under FATCA / CRS. This declaration form can be downloaded from [www.tradebulls.in](http://www.tradebulls.in) or call at KYC Helpdesk at (079) 333 333 47 / 48 or write to [kychelpdesk@tradebulls.in](mailto:kychelpdesk@tradebulls.in)

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**KNOW YOUR CLIENT (KYC) | APPLICATION FORM (FOR INDIVIDUALS ONLY)**

Please fill the form in ENGLISH and in BLOCK letters | Fields marked \* are mandatory | Fields marked + are pertaining to CKYC and mandatory only if processing CKYC also


Application Type*	<input type="checkbox"/> New KYC	<input type="checkbox"/> Modification KYC				
KYC Mode (Please Tick)	<input type="checkbox"/> Normal	<input type="checkbox"/> EKYC OTP	<input type="checkbox"/> EKYC Biometric	<input type="checkbox"/> Online KYC	<input type="checkbox"/> Offline EKYC	<input type="checkbox"/> Digilocker

**A. IDENTITY DETAILS**

<b>PAN*</b>				Please enclose a duly attested copy of your PAN Card
<b>Name*</b> (same as ID proof)				
Maiden Name (if any)				
Fathers/Spouse's Name*				
Date of Birth*	DD / MM / YYYY			
Gender*	<input type="checkbox"/> Male	<input type="checkbox"/> Female	<input type="checkbox"/> Transgender	
Marital Status*	<input type="checkbox"/> Single	<input type="checkbox"/> Married		
Nationality*	<input type="checkbox"/> Indian	<input type="checkbox"/> Other _____		
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian	<input type="checkbox"/> Foreign National	
	<input type="checkbox"/> Person of Indian Origin	<small>Passport mandatory for NRIs &amp; Foreign Nationals. PIO selection is only for CKYC &amp; not for KRA KYC. Select NRI or Foreign National based on Nationality of the individual</small>		

**PHOTOGRAPH**

Paste color passport size photo & sign across it

 2/15 Signature of 1st Holder

**Proof of Identity (POI) submitted for pan exempted cases (Please tick)**

<input type="checkbox"/> A - Aadhaar	XXXX XXXX	
<input type="checkbox"/> B - Passport Number		(Expiry Date)
<input type="checkbox"/> C - Voter ID Card		
<input type="checkbox"/> D - Driving License		(Expiry Date)
<input type="checkbox"/> E - NREGA Job Card		
<input type="checkbox"/> F - NPR		
<input type="checkbox"/> Z - Others		(any document notified by Central Government)
Identification Number		

**B. ADDRESS DETAILS\* (please refer guidelines overleaf)**
**1. Correspondence / Local Address\***

Line 1*					
Line 2					
Line 3					
City/Town/Village*	District*		Pin Code*		
State*	Country*				
Address Type*	<input type="checkbox"/> Residential/Business	<input type="checkbox"/> Residential	<input type="checkbox"/> Business	<input type="checkbox"/> Registered Office	<input type="checkbox"/> Unspecified

 3/15

Signature of 1st Holder

**2. Permanent residence address of applicant, if different from above A / Overseas Address\* (Mandatory for NRI Applicant)**

Line 1*				
Line 2				
Line 3				
City/Town/Village*		District*		Pin Code*
State*		Country*		
Address Type*	<input type="checkbox"/> Residential/Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> Unspecified			

**Proof of Identity (POI) submitted for pan exempted cases (Please tick)**

<input type="checkbox"/> A - Aadhaar	XXXX XXXX
<input type="checkbox"/> B - Passport Number	(Expiry Date)
<input type="checkbox"/> C - Voter ID Card	
<input type="checkbox"/> D - Driving License	(Expiry Date)
<input type="checkbox"/> E - NREGA Job Card	
<input type="checkbox"/> F - NPR	
<input type="checkbox"/> Z - Others	(any document notified by Central Government)
Identification Number	

**C. CONTACT DETAILS (in CAPITAL)**

Email ID*			
Mobile No*			
Tel (Off.)		Tel (Res.)	

**D. APPLICANT DECLARATION**

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

Applicant e-SIGN	 4/15	Applicant Wet Signature	 4/15
Place		Date	DD / MM / YYYY

**E. FOR OFFICE USE ONLY**

In-Person Verification (IPV) carried out by*		Intermediary Details*	
IPV Date	DD / MM / YYYY	<input type="checkbox"/> Self certified document copies received (OVD)	
Emp. Name		<input type="checkbox"/> True Copies of documents received (Attested)	
Emp. Code		AMC/Intermediary Name	
Emp. Designation			
Employee Signature & Stamp		Tradebulls Securities (P) Limited Seal/Stamp of the Intermediary	

## ADDITIONAL KYC DETAILS FOR DEMAT & TRADING ACCOUNT

**TYPE OF ACCOUNT :**  Individual **Sub Status**  Resident  Other \_\_\_\_\_

### A. BANK ACCOUNT DETAILS

	Bank 1 Details	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	Bank 2 Details	<input type="checkbox"/> Savings	<input type="checkbox"/> Current
Bank & Branch						
Account No.						
MICR		IFSC		MICR		IFSC

### B. DEPOSITORY ACCOUNT DETAILS (DP1 DETAILS CONSIDERED FOR PAYOUT OF SECURITIES IF FILLED)

	DP 1 Details	DP 2 Details
Depository	<input checked="" type="checkbox"/> CDSL	<input type="checkbox"/> CDSL <input type="checkbox"/> NSDL
DP Name	Tradebulls Securities (P) Limited	
Client Name		
DP ID	1 2 0 7 0 2 0 0	
BO ID		

### C. COMTRACK ACCOUNT DETAILS

CP Name	Client Name
CP ID	CMSE ID

### D. TRADING PREFERENCES

Exchanges	NSE & BSE			MCX, NCDEX
All Segments	Cash / Mutual Fund	Future & Options	Currency	Commodity Derivatives
<del>/</del>	<del>/</del>	<del>/</del>	<del>/</del>	<del>/</del>

If you do not wish to trade in any of segments/Mutual Fund, please mention here

- Please sign in the relevant boxes where you wish to trade. The segment not chosen should be strike off / mentioned as NA.
- # If, in future, the client wants to trade on any new segment / new exchange, separate authorization/letter should be taken from the client by the stock broker.
- Derivative segments activation is subject to financial document verification.

### E. RISK DISCLOSURES ON DERIVATIVES

- 9 out of 10 individual traders in equity Futures and Options Segment, incurred net losses.
- On an average, loss makers registered net trading loss close to ₹ 50,000.
- Over and above the net trading losses incurred, loss makers expanded an additional 28% on net trading losses as transaction costs.
- Those making net trading profits, incurred between 15% to 50% of such profits as transaction cost.

### F. PAST ACTIONS

Details of any action / proceedings initiated / pending / taken by SEBI / Stock exchange / any other authority against the applicant / constituent or its Partners / promoters / whole time directors /authorized persons in charge of dealing in securities during the last 3 years:

No If yes, please specify

### G. INTRODUCER DETAILS

**Status of Introducer**  AP  Employee  Existing Client  Others (Specify):

**Introducer Name:** \_\_\_\_\_ **AP/Emp/Client Code:** \_\_\_\_\_

**Address of AP:** \_\_\_\_\_ **Mobile No:** \_\_\_\_\_

**Signature of the Introducer**



**H. DEALING THROUGH AUTHORISED PERSON (AP) / OTHER STOCK BROKERS**

If Yes, please specify:		Broker Member ID	
Name of Broker/AP		SEBI Registration No	
Name of Exchange		Trading Code	
Details of disputes/dues pending from/to such stock broker:			
Whether you are a Member / AP of any Exchange <input type="checkbox"/> Yes <input type="checkbox"/> No			

**I. STANDING INSTRUCTIONS / OTHER DETAILS / EMAIL-SMS ALERT / CONTRACT NOTE PREFERENCE**

Contract Note / Holding & Transaction Statement including CAS / Other Documents	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic
To receive / accept each and every credit and pledge instruction in my account	<input type="checkbox"/> Yes <input type="checkbox"/> No
To share email id with Registrar & Transfer Agent (RTA)	<input type="checkbox"/> Yes <input type="checkbox"/> No
Dividend / Interest to receive in bank account stated in KYC via ECS	<input type="checkbox"/> Yes <input type="checkbox"/> No
To avail facility of Internet Trading & Wireless Technology	<input type="checkbox"/> Yes <input type="checkbox"/> No
To receive Annual Report in Electronic mode	<input type="checkbox"/> Yes <input type="checkbox"/> No
To receive Delivery Instruction Slip	<input type="checkbox"/> Yes <input type="checkbox"/> No
Margin Trading Facility (Refer Rights & Obligation document)	<input type="checkbox"/> Yes <input type="checkbox"/> No
To send ECN/Bill/Holding/Transaction Statement/CAS/OTP/TPIN/All correspondence at 1st holder Email Id/Mobile no. stated in KYC	<input type="checkbox"/> Yes <input type="checkbox"/> No
DP Account Statement	<input type="checkbox"/> Monthly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Weekly <input type="checkbox"/> As per SEBI Regulation
Declaration for Email	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Parent
Declaration for Mobile Number	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Parent
Mode of Operations for Joint Accounts	<input type="checkbox"/> Jointly <input type="checkbox"/> Any one of the holder or survivor (s)
Communication Preference (for receiving CDSL SMS, OTP, Email & Statements)	<input type="checkbox"/> First Holder <input checked="" type="checkbox"/> All Joint Account Holder

**Note:** To register for Easi please visit website [www.cdslindia.com](http://www.cdslindia.com), where BO can view ISIN balances, transactions & portfolio online.

**J. INFORMATION FOR PREVENTION OF MONEY LAUNDERING ACT, 2002 & OTHER DETAILS**

<b>Experience</b>	Number of years of Investment / Trading Experience <input type="checkbox"/> <input type="checkbox"/>		
<b>Gross Annual Income</b>	<input type="checkbox"/> Below 1 lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> >25 Lacs		
	<b>OR</b> Net Worth in ₹ (*Net worth should not be older than 1 year) as on date DD / MM / YYYY		
<b>Constituent Profile</b>	<input type="checkbox"/> Manufacturing <input type="checkbox"/> Services <input type="checkbox"/> Trading <input type="checkbox"/> Consultancy <input type="checkbox"/> Others _____		
<b>Client Category</b>	<input type="checkbox"/> FPOs / Farmer <input type="checkbox"/> Value Chain Participant <input type="checkbox"/> Domestic Financial Institutional Investor <input type="checkbox"/> Foreign Participant <input type="checkbox"/> Others		
<b>Guardian Details</b>	Name:	PAN	
	CKYC Reference No.:	Relation	
<b>Is the Client Politically Exposed Person (PEP) or Related to a PEP</b> <input type="checkbox"/> Yes <input type="checkbox"/> No			

**K. GST REGISTRATION DETAILS**

<b>Registration No.</b>	<b>Registration Date</b>	<b>State Name</b>
GSTIN No:	DD / MM / YYYY	

**DECLARATION**

I / We declare that the particulars furnished above are true & correct to the best of my / our knowledge & belief. I / We agree & undertake to intimate the DP / Stock broker any change(s) in the details therein immediately. I / We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination & suitable action.

I / We confirm having received, read/been explained and understood the content of the 'Rights and Obligations Document' for trading & DP Services and MTF, 'Risk Disclosure Document', 'Guidance Note' and agree to abide by and be bound by the same and by the Bye-Laws as are in force from time to time. I / We also confirm having received, read/been explained & understood the contents of the document on policy and procedures, terms and conditions of the stock broker including MTF & the tariff sheet. I / We have also the informed that the standard set of documents has been displayed for information on stock broker's designated website i.e. [www.tradebulls.in](http://www.tradebulls.in)

		
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Place:

Date: DD / MM / YYYY



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## NOMINEE DETAILS FOR TRADING AND DEMAT ACCOUNT

Date	DD / MM / YYYY	UCC		DP ID/Client ID	
<b>I/We wish to nominate (As per details given below)</b>					
I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.					
	Details of 1st Nominee		Details of 2nd Nominee		Details of 3rd Nominee
Name of the Nominee(s)					
Share of each Nominee	Equally <small>(If not equally, please specify %)</small>	%	%	%	
Any odd lot after division shall be transferred to the first nominee mentioned in the form.					
Relationship with Applicant <small>(if any)</small>					
Address of Nominee(s)					
City / Place					
Pin Code					
Mobile / Tel					
Nominee Email ID					
Nominee Identification Details <small>(Please tick any one of following and provide details of same)</small> <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhar <input type="checkbox"/> Bank Account No <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID					
<b>Below details should be filled only if nominee(s) is a minor</b>					
Date of Birth <small>(in case of minor nominee(s))</small>		DD / MM / YYYY	DD / MM / YYYY	DD / MM / YYYY	DD / MM / YYYY
Name of Guardian <small>(in case of minor nominee(s))</small>					
Relationship with Nominee					
Address of Guardian(s)					
City / Place					
Pin Code					
Mobile / Tel					
Guardian Email ID					
Guardian Identification Details <small>(Please tick any one of following and provide details of same)</small> <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhar <input type="checkbox"/> Bank Account No <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID					
					
Name: 1st Holder		Name: 2nd Holder		Name: 3rd Holder	
* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature <b>Note:</b> This nomination shall supersede any prior nomination made by the account holder(s), if any   The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)					




## DECLARATION FORM FOR OPTING OUT OF NOMINATION

Date:

To,  
 Tradebulls Securities (P) Limited  
 Tradebulls House, Sindhubhavan Road, Bodakdev, Ahmedabad - 380 054.  
 Tel: 91 79 4000 1000


UCC	
DP ID/Client ID (only for Demat Account)	
Sole/First Holder Name	
Second Holder Name	
Third Holder Name	

I/We hereby confirm that I/We do not wish to appoint any nominee(s) in my/our trading/demat account & understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/our legal heirs would need to submit all the requisite documents/information for claiming of assets held in my/our trading/demat account, which may also include documents issued by court or other such competent authority, based on the value of assets held in the trading/demat account.

 <small>Signature of 1st Holder</small>	 <small>Signature of 2nd Holder</small>	 <small>Signature of 3rd Holder</small>
Name: 1st Holder	Name: 2nd Holder	Name: 3rd Holder

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

### WITNESSES (ONLY APPLICABLE IN CASE THE ACCOUNT HOLDER HAS MADE NOMINATION)

Name	Name
Address	Address
 <small>Signature of 1st Witness</small>	 <small>Signature of 2nd Witness</small>

Note: 1. Where the holder is minor, person law fully entitled to act on behalf of the minor should sign the nomination.  
 2. Birth Certificate is required incase of minor.

**Disclosure:** Tradebulls Securities (P) Limited discloses herewith that it is undertaking Proprietary trading in addition to trades done for its clients

### OFFICE USE ONLY

Nomination form accepted and registered vide Registration No.:

*I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.*

Details of Employee/AP	Tradebulls Securities (P) Limited Documents verified with Originals & In-Person Verification done by	Tradebulls Securities (P) Limited Authorised Signatory (Attest with seal)
Name & E Code		
Designation		
Date / Place	DD / MM / YYYY PLACE	
Signature		

## BROKERAGE DETAILS

**Margin Collected:**

### BROKERAGE PLAN

Equity Segment	Equity / Futures / Currency		Equity Delivery		Options (per lot)				Commodity Segment	Futures		Options
	%	Min (paisa) (per share)	%	Min (paisa) (per share)	Index (₹)	Stock (₹)	Bank Nifty (₹)	Currency (₹)		%	Min (paisa) (per share)	₹
1 <sup>st</sup> Leg									1 <sup>st</sup> Leg			
2 <sup>nd</sup> Leg									2 <sup>nd</sup> Leg			

### CHARGES FOR DEPOSITORY SERVICES

Sr.	Services	Schemes (Please Select) Charges Amount (Exclusive of GST)*				
		Lifetime AMC		Investor	BSDA	Non Individual/HNI Clients
		<input type="checkbox"/> 1250	<input type="checkbox"/> 550	<input type="checkbox"/> 300		
1.	Deliveries / Debit transaction (per ISIN)					
	Within Tradebulls	₹ 11/-	₹ 25/-	₹ 11/-	₹ 50/-	₹ 11/-
	Outside Tradebulls	₹ 21/-	₹ 35/-	₹ 21/-	₹ 70/-	₹ 21/-
2.	Charges for Pledge creation/closure/invocation (per ISIN per instance)	₹ 25/-	₹ 50/-	₹ 25/-	₹ 100/-	₹ 25/-
3.	Margin Pledge Creation, Closure, Invocation (per ISIN per instance)	₹ 12/-	₹ 12/-	₹ 12/-	₹ 12/-	₹ 12/-
4.	Margin Pledge Creation, Closure, Invocation for MTF client (per ISIN per instance)	₹ 24/-	₹ 24/-	₹ 24/-	₹ 24/-	₹ 24/-
5.	Dematerialization (per Certificate)	₹ 10/-	₹ 20/-	₹ 10/-	₹ 40/-	₹ 10/-
	Postal Charges	₹ 50/-	₹ 60/-	₹ 50/-	₹ 120/-	₹ 30/-
6.	Rematerialization (per Certificate)	Actual CDSL charges				
	Postal Charges	₹ 30/-	₹ 60/-	₹ 30/-	₹ 120/-	₹ 30/-
7.	Demat Rejections (per Certificate)		₹ 30/-		₹ 60/-	₹ 30/-

**Note:**

- Inter-Settlement charge towards debit transactions of client shares from CUSA, MTF account, client collateral account and collateral account ₹ 11/- + taxes per ISIN would be debited in the client ledger.
- In case of every corporate account, CDSL AMC of ₹ 700/- & transaction charges of investor scheme will be applicable.
- CDSL levied Rematerialization charges as higher of (i) A fee of ₹ 10/- for every 100 securities or part thereof. **OR** (ii) A flat fee of ₹ 10/- per certificate.
- STT, SEBI turnover fees, stamp duty, transaction charges, GST, transaction charges & other statutory levies/CESS (if any) shall be levied as per prevailing rates.
- ₹ 25/- per request / booklet will be charged for additional DIS requisition, account statement, holding with valuation, account modification, freeze & unfreeze etc.
- Charges levied on account of cheque return, wrong reporting of cheques, DP scheme charges, duplicate statements etc. may be recovered from your account.
- Clearing charges will be charged as per below mentioned rates (charges per crore):

Segment	Futures (On Turnover)	Options (On Premium)
Equity / Currency Derivatives	35	2500
Commodity Derivatives	35	5000

- Tradebulls Securities (P) Limited reserves the right to change the charges for depository services from time to time at its sole discretion, under 30 days prior intimation to clients either by way of ordinary post or by an email and by notification on the back office interface.
- Minimum 70% turnover should be through online trading platform for online brokerage slab applicability.
- Brokerage levied to your trading account shall be the higher of brokerage value as per existing rates or ₹ 30/- per settlement/segment subject to maximum rate prescribed by regulator time to time.
- The scheme opted as per above table, will continue till we receive any change request from client.
- Any charges with regards to NERL/Comtrack etc. shall be levied separately.
- Commission of Clearing & Forwarding Agent / Commission agents, if appointed on behalf of clients for the purpose of taking of deliveries will be charged at actual.

 8/15 <small>Signature of 1st Holder</small>	 5/12 <small>Signature of 2nd Holder</small>	 5/12 <small>Signature of 3rd Holder</small>
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Name: 1st Holder	Name: 2nd Holder	Name: 3rd Holder
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## DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI POA)




To all to whom these presents shall come I/We \_\_\_\_\_

\_\_\_\_\_ (Name of the BO, with full address), I SEND GREETINGS.




Whereas I/We hold Beneficiary account no. \_\_\_\_\_ (BO-ID) with Central Depository Services (India) Limited, with Tradebulls Securities (P) Limited (a depository participant registered with CDSL) bearing DP-ID 12070200 and whereas I/We are registered as a client WITH TRADEBULLS SECURITIES (P) LIMITED. (trading member of Bombay Stock Exchange (BSE), National Stock Exchange of India Ltd (NSE), National Commodity and Derivatives Exchange Limited (NCDEX) & Multi Commodity Exchange of India Ltd. (MCX) for dealing in securities market.) for trading on the said stock exchanges.

NOW KNOW I/WE ALL THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE / AND APPOINT M/s TRADEBULLS SECURITIES (P) LIMITED, to do the following:




1. Transfer of securities held in my above mentioned beneficial owner account towards Stock Exchange related deliveries / settlement obligations arising out of trades executed on the Stock Exchange through Tradebulls Securities (P) Limited to the accounts as listed in Schedule 1 to this DDPI POA

 9/15 Signature of 1st Holder	 6/12 Signature of 2nd Holder	 6/12 Signature of 3rd Holder
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2. Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM)/ Clearing corporation (CC) for the purpose of meeting my margin requirements in connection with the trades executed on the Stock Exchange through accounts as listed in Schedule 1 to this DDPI POA

 10/15 Signature of 1st Holder	 7/12 Signature of 2nd Holder	 7/12 Signature of 3rd Holder
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3. Mutual Fund transactions being executed on stock exchange order entry platforms and which shall be in compliance with SEBI circulars SEBI/HO/IMD/IMD-I DOF5/P/CIR/2021/634 dated October 04, 2021, SEBI/HO/IMD/IMD-I DOF5/P/CIR/2021/635 dated October 04, 2021 and SEBI/HO/IMD/IMD-I DOF5/P/CIR/2022/29 dated March 15, 2022 or any other circular which may be issued in this regard

 11/15 Signature of 1st Holder	 8/12 Signature of 2nd Holder	 8/12 Signature of 3rd Holder
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4. Tendering shares in open offers which shall be in compliance with SEBI circular SEBI/HO/CFD/DCR-III/CIR/P/2021/615 dated August 13, 2021 or any other circular which may be issued in this regard

 12/15 Signature of 1st Holder	 9/12 Signature of 2nd Holder	 9/12 Signature of 3rd Holder
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I/We accept (For Tradebulls Securities (P) Limited)


Authorised Signatory: \_\_\_\_\_


Date: \_\_\_\_\_

## SCHEDULE 1 - LIST OF DEMAT ACCOUNTS

Depository Participant Name	Demat Account	Exchange	Name / Type
Tradebulls Securities (P) Ltd	1207020000011753	BSE	Pool A/C
Tradebulls Securities (P) Ltd	1207020001781038	All	Client Collateral A/C
Tradebulls Securities (P) Ltd	1207020000016274	NSE	Pool A/C
Tradebulls Securities (P) Ltd	1207020002098199	All	TM/CM CMPA
Tradebulls Securities (P) Ltd	1207020002099247	All	Client Securities Margin Funding a/c (Margin)
Tradebulls Securities (P) Ltd	1207020002098205	All	Client Securities Margin Funding a/c (Bene)
IL&FS Securities Services Ltd	IN30009511657647	MSEI	Pool A/C
IL&FS Securities Services Ltd	IN30009511472940	NSE	Pool A/C
IL&FS Securities Services Ltd	IN30009511474269	BSE	Pool A/C

 13/15  
Signature of 1st Holder

 10/12  
Signature of 2nd Holder

 10/12  
Signature of 3rd Holder

### IN CASE OF HUF, CO-PARCENERS SIGNATURE IS REQUIRED

Sr.	Name of Co-Parceners	Date of Birth DD/MM/YYYY	Gender	Relationship	Signature
1.					
2.					
3.					
4.					

## Annexure A - MOST IMPORTANT TERMS AND CONDITIONS (MITC)

### (For non-custodial settled trading accounts)

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

 14/15  
Signature of 1st Holder

 11/12  
Signature of 2nd Holder

 11/12  
Signature of 3rd Holder



## CLIENT DECLARATIONS AND AUTHORISATION

- **Client Declaration - Open Interest Position:** I/We, the undersigned, have taken cognizance of circulars issued by Regulator / Commodity Exchanges from time to time on the guidelines for calculation of net open positions permitted in any commodity and I/we hereby undertake to comply with the same. I / We hereby declare and undertake that I / we will not exceed the position limits prescribed from time to time by Commodity Exchanges or Regulator and such position limits will be calculated in accordance with the circulars on position limits as modified from time to time. I/We undertake to inform you and keep you informed if I / any of our partners / directors / karta / trustee or any of the partnership firms/companies / HUFs / Trusts in which I or any of above such person is a partner / director / karta / trustee, takes or holds any position in any commodity forward contract / commodity derivative on Exchanges through or through any other member (s) or Exchanges, to enable you to restrict our position limit as prescribed by the above referred circular of Exchanges as modified from time to time. I / We confirm that you have agreed to enter order in commodity forward contracts / commodity derivatives for me / us as your clients on Commodity Exchanges only on the basis of our above assurances and undertaking. I/We further undertake to bear any liable / penalty / charges levied by Commodity Exchanges / Regulator.
- **One Time Declaration About High Value Transactions:** I / We do hereby declare that being your client, I/We propose to undertake high value transactions (say Rs. 5 lakh and above or such other sum as may be prescribed by Regulator / MCX / NCDEX or any other authority from time to time) in a day on MCX / NCDEX through you as my/our commodity broker. By this one time declaration, please note that the said transaction(s) would be for and on my/our behalf and entirely for my./our profit or loss only. I/We also declare that the transactions would not be carried out for any unauthorized / unregistered authorized person or for and on behalf of any other person / entity.
- **Running Account Authorisation:** I / We request you to maintain my / our accounts on running accounts basis instead of 'bill to bill' settlement basis, unless I / we specifically request you for a payout of available free balance in the account. You may settle the accounts at **Monthly / Quarterly** (strike off whichever is not applicable) or at such other intervals as SEBI / Stock Exchanges may specify from time to time. I / We further authorize you to retain such amount as may be permitted by Stock Exchanges / SEBI from time to time or towards other unbilled services and/or charges applicable on my account, while settling the accounts. I / We further authorize you to also retain an amount as may be permitted by SEBI / Stock Exchanges from time to time, while settling my / our account. I / We understand and agree that no interest will be payable on the amount of funds retained by you as above. I / We agree that Tradebulls shall not be liable for any claim for loss or loss of profit or for any consequential, incidental, special or exemplary damages, or otherwise, caused by retention of such funds. The standing instruction / authorization for maintaining my / our account as running account shall remain valid until revoked in writing, addressed to you.
- **Letter Of Authority:** I hereby authorise my \_\_\_\_\_ Mr./Ms. \_\_\_\_\_ to trade on my behalf of me in my trading account code \_\_\_\_\_, I will be fully responsible for all trades done by authorised person in my trading account code.
- **Declaration pursuant to SEBI circular SEBI/HO/CDMRD/DNPMP/CIR/P/2019/08 dated 04th January, 2019**

### LIST OF COMMODITIES (MCX & NCDEX)

COMMODITIES	CODE	COMMODITIES	CODE	COMMODITIES	CODE	COMMODITIES	CODE
Aluminum		Brass		Copper		Lead	
Nickel		Zinc		Barley		Cardamom	
Castor		Chana		Cocudakl		Cotton	
Dhaniya		Guargum		Guarseed		Jeeraunjha	
Kapas		Maize		Moong		Pady	
Pepper		RM Seed		Sugar		Soyabean	
Turmeric		Wheat		Gold		Silver	
CPO		Crude Oil		Mentha Oil		Syoref	
Natural Gas		<b>All Commodities</b>	<b>6</b>				

### CATEGORY CODE REFERENCE TABLE AS PER SEBI

Category	FPOs / Farmers	Value Chain Participants	Proprietary Traders (Only for registered Stock / Commodity) brokers	Domestic Financial Institutional Investors	Foreign Participants	Others
Category Code	1	2	3	4	5	6

I/We declare that the details furnished above are true and correct to the best of my knowledge and belief and I/We undertake to inform you of any changes there in immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting I/We am/are aware that I/We may be held liable for it.

15/15  
Signature of 1st Holder

12/12  
Signature of 2nd Holder

12/12  
Signature of 3rd Holder

## ANNEXURE 5 - CONSENT LETTER FORMAT FOR OPENING A COMRIS ACCOUNT

(Applicable for client registered for trading with the Member acting as ComRIS Participant)

Date:

From:

To,  
Tradebulls Securities (P) Limited  
Tradebulls House, Sindhubhavan Road,  
Bodakdev, Ahmedabad - 380 054.  
Tel: 91 79 4000 1000 | Fax: 91 79 6169 1133

I/We request you to kindly open a ComRIS Beneficiary Account with yourself for the below mentioned details

Name			
Address			
City		Pin Code	
State		Country	
Tel (Off.)		Tel (Res.)	
Mobile No		Fax	
Email ID			
Contact Person		Designation	
UCC Code for Mapping with Exchange Member			
Type of ComRIS Account			
Client PAN No. (Attach Copy)			

Declaration Statement to be given by the applicant

"We hereby agree and declare that -

1. The information supplied in the application, including the attachment sheets, is complete and true.
2. We will notify the immediately of any change in the information provided in the application.
3. We shall comply with, and be bound by the relevant acts, provisions, Rules and Regulations and such other guidelines/instructions, which may be announced by the Exchange from time to time.
4. As a pre-condition, we shall abide by such operational instructions/directives/procedures in relation to ComRIS as may be issued by the Exchange from time to time".

For and on behalf of \_\_\_\_\_ (Name of the applicant)

Signature of Authorised signatory

Name: \_\_\_\_\_ Designation: \_\_\_\_\_

Date:

Place:



## Tradebulls Securities (P) Limited

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**Corporate Office Address:** Kanakia Wall Street, A-Wing, Ground Floor, Andheri Kurla Road, Andheri (E), Mumbai - 400 093.

Tel: 91 22 4888 2888 | Website: [www.tradebulls.in](http://www.tradebulls.in)

**Registered & Central Support Office Address:** Tradebulls House, Sindhubhavan Road, Bodakdev, Ahmedabad - 380 054.

Tel: 91 79 4000 1000 | Fax: 91 79 6169 1133 | Website: [www.tradebulls.in](http://www.tradebulls.in)

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**Member:** BSE Ltd. / National Stock Exchange of India Ltd. / Multi Commodity Exchange of India Ltd. / National Commodity & Derivatives Exchange Limited / CDSL - Depository Participant

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**SEBI Registration No:** INZ000171838 | **Date:** 27/03/2018

**TM Code:** BSE - 3286 | NSE - 13499 | MCX - 21840 | NCDEX - 376

**CDSL-Depository Participant - SEBI Registration No:** IN-DP-206-2016 | **Date:** 09/02/2016 | **CDSL DP ID:** 12070200

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### Clearing Member Name & Address:

**NSE CM FO CDS | BSE CM FO CDS | NCDEX | MCX:**

Tradebulls Securities (P) Limited: Tradebulls House, Sindhubhavan Road, Bodakdev, Ahmedabad - 380 054.

**CM ID:** M51680 (NCL) M51093 (NCCL) | **SEBI Registration No:** INZ000171838 | **Date:** 27/03/2018

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Compliance Officer Name: Ms. Kavisha Ketul Vora | Tel: 91 79 4000 1000 | Email ID: [compliance@tradebulls.in](mailto:compliance@tradebulls.in)

CEO Name: Mr. Dinesh Thakkar | Tel: 91 79 4000 1000 | Email ID: [ceo@tradebulls.in](mailto:ceo@tradebulls.in)

For any grievance / dispute please contact Tradebulls Securities (P) Limited at the above address or email id: [wecare@tradebulls.in](mailto:wecare@tradebulls.in) and Phone no: 91 79 4000 1000. In case not satisfied with the response, please contact the concerned exchange(s):

BSE Email: [jjgar.gadesha@bseindia.com](mailto:jjgar.gadesha@bseindia.com) & Tel: 91 79 2646 2992 | NSE Email: [nsciscahm@nse.co.in](mailto:nsciscahm@nse.co.in) & Tel: 91 79 4900 8610/11

CDSL Email: [helpdesk@cdslindia.com](mailto:helpdesk@cdslindia.com) & Tel: 91 22 2272 8663 | MCX Mail: [compliance@mcxindia.com](mailto:compliance@mcxindia.com) & Tel: 91 22 6731 8888

NCDEX Mail: [askus@ncdex.com](mailto:askus@ncdex.com) & Tel: 91 22 6640 6521