Tradebulls Securities Private Limited

Tradebulls House, Sindhubhavan Road, Bodakdev, Ahmedabad – 380054, Gujarat

Pledge Request Form (Annexure 8.1)

# Setup of Pledge  Confirmation of Pledge

Please fill all the details in **Block Letters** in English

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| PRF No. |  | Date | D | D | M | M | Y | Y | Y | Y |

I/We request you to **create the pledge / confirm the creation of pledge for** the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such pledges.

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Pledgor’s Details** | | | | | | | | | | | | | | | | | |
| DP ID | **1** | **2** | **0** | **7** | **0** | **2** | **0** | **0** | Client ID |  |  |  |  |  |  |  |  |
| Pledgor’s Name | 1.  2. | | | | | | | | | | | | | | | | |
|  | 3. | | | | | | | | | | | | | | | | |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Pledgee’s Details** | | | | | | | | | | | | | | | | | |
| DP ID |  |  |  |  |  |  |  |  | Client ID |  |  |  |  |  |  |  |  |
| Pledgee’s Name | 1. | | | | | | | | | | | | | | | | |
|  | 2. | | | | | | | | | | | | | | | | |
|  | 3. | | | | | | | | | | | | | | | | |
| **Details of Securities:** | |  | * **Free Securities**  **Locked–in Securities** | | | | | | |  |  |  |  |  |  |  |  |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Details of Securities offered for Pledge.** | | | | | | | |
| **Sr. no** | **ISIN** | **Company Name** | **Quantity** | **Date of**  **Release (lock-in)** | **PSN**  **(System Generated)** | **Accepted /**  **Rejected by Pledgee** | **Pledged value** |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Pledge Execution Date** | D | D | | M | | M | Y | | Y | | Y | | | Y | |
| Pledge Expiry Date | D | D | | M | | M | Y | | Y | | Y | | | Y | |
| Total Pledge Value (Rs.) |  | | | | | | | | | | | | | | |
| Agreement No. |  | | | | | | | | | | | | | | |
| Date of Pledging | D | D | | M | | M | | Y | Y | | Y | | | Y | |
| **Pledge Reason [tick mark whichever is applicable]** | **Collateral -Debt I issuance by Co./Grp Co.** | | **Collateral for loan by**  **Company/Gro up C** | | | | **Collateral for loan by the Third Party** | | **Margin Pledge/MTF for Exchange Trade** | | | **Personal use by**  **promoters and PACs** | | | |
|  |  | |  |
| **PAN of the Ultimate Lender :** | | | | |  | | | | |  | | | | | |

Note : As a pledgor and pledgee, We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.

**To be filled and signed in case of Set-up of Pledge by Pledgor BO**

**o**

|  |  |  |
| --- | --- | --- |
| **Signature of the Pledgor** | | |
| **First/ Sole Applicant** | **Second Applicant** | **Third Applicant** |
|  |  |  |

**To be filled in case of acceptance of Pledge by Pledgee BO**

# Signature of Pledgee(s) \*

|  |  |  |
| --- | --- | --- |
| **First Holder** | **Second Holder** | **Third Holder** |
|  |  |  |

\* If the Pledgee is a bank DP, the signature of the pledgee need not be taken on the Pledge request form.

# Depository Participant Seal and Signature

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